PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0 031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
ler the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ΓRANSMITTAL FORM

(To be used for all correspondence after initial filing)

offiation unless it displays a valid Olivis Control Humber.
10/687,579
October 16, 2003
James C. Chen
59785-8

	ENCLOSURES (check all that apply)								
	Fee Transmittal Form Fee Attached Amendment/Response After Final Affidavits/declaration(Extension of Time Request Express Abandonment Request Information Disclosure Statement; Form PTO/SB Cited References (13) Certified Copy of Priority Document(s) Response to Missing Part under 37 C.F.R. 1.52 or 1 Response to Missing Parts/Incomplete Applicat	Drawing(s) Request for Corrected Filing Receipt Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Declaration Statement under 37 CFR 3.73(b) Terminal Disclaimer Small Entity Statement Request for Refund	□ CD(s), Number of CD(s) □ After Allowance Communication to Group □ Appeal Communication to Board of Appeals and Interferences □ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) □ Proprietary Information □ Status Letter ☒ Return Receipt Postcard □ Additional Enclosure(s) (please identify below):						
H	Remarks .								
_									
L	SIGNA	TURE OF APPLICANT, ATTORNEY,							
	Individual Name Jane E. F	R. Potter	22504						
	Signature SRISH								
	Date November 5, 2003 CERTIFICATE OF FACSIMILE TRANSMISSION/MAILING								
Г									
	I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date specified below.								
	Typed or printed name Jessica Gaunt								
	Signature	Jossian Hount	Date: November 5, 2003						

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

November 5, 2003

Date

Jessica Gaunt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

: James C. Chen

Application No.

: 10/687,579

Filed

: October 16, 2003

For

: PHOTODYNAMIC THERAPY FOR LOCAL ADIPOCYTE

REDUCTION

Docket No.

: 59785-8

Date

: November 5, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO/SB/08 (copies of the cited references are enclosed). As to any reference supplied, applicant does not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserves the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he/she is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his/her invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,
James C. Chen
DAVIS WRIGHT TREMAINE LLP

Vane E. R. Potter

Registration No. 33,332

Enclosure:

Postcard Form PTO/SB/08 Cited References (13)

2600 Century Square 1501 Fourth Avenue Seattle, WA 98101-1688 Phone: (206) 628-7650 Facsimile: (206) 628-7699 PTO/SB/08A (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Application Number	10/687,579	
Filing Date	October 16, 2003	
First Named Inventor	James C. Chen	
Art Unit		
Examiner Name		
Attorney Docket Numb	er 59785-8	

Complete if Known

			U.S. PATENT DO		
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,354,297 B1	03-12-2002		
		US-5,957,960	09-28-1999		
		US-5,945,762	08-31-1999		
		US-			
	<u> </u>	US-			
		US-		···	
	ļ	US-			
		US-		···········	
		US-			
		US-			
		US-			1

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	l ⊸
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages Or Relevant Figures Appear	Ľ
		WO 99/67249	12-29-1999			L
					-	
			·		 	\vdash
				,	, <u> </u>	├

Examiner	Date	·
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformation with MPEP 609. Draw line through citation if not in conformation and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04 ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to compete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND TEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450...

PTO/SB/08B (05-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO				Compl te if Known		
INEO		DIS	CI OSLIDE	Applicati n Number	10/687,579	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	October 16, 2003	
OTATEMENT BY ATTEMANT				First Named Inventor	James C. Chen	
(Use as many sheets as necessary)				Art Unit		
				Examiner Name		
Sheet	2	of	2	Attorney Docket Number	59785-8	

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No. 1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		HOTTA ET AL., Plasma Concentrations of a Novel, Adipose-Specific Protein, Adiponectin, in Type 2 Diabetic Patients, ARTERIOSCLER THROMB VASC. BIOL., 20:1595-1599, 2000.	
		ARITA ET AL., Paradoxical Decrease of an Adipose-Specific Protein, Adiponectin, in Obesity, BIOCHEMICAL AND BIOPHYSICAL RESEARCH COMMUNICATIONS, 257:79-83, 1999.	
		MATSUZAWA ET AL., Molecular Mechanism of Metabolic Syndrome X: Contribution of Andipocytokines – Adipocyte-derived Bioactive Substances, Annals New York Academy Of Sciences, 146-154, 1999.	
		SATO ET AL., Lipoprotein Hydolysis and Fat Accumulation in Chicken Adipose Tissues are Reduced by Chronic Administration of Lipoprotein Lipase Monoclonal Antibodies, POULTRY SCIENCE, 78:1286-1291, 1999.	
		SCHÄFFLER ET AL., Identification and Characterization of the Human Adipocyte apM-1 Promoter, BIOCHIMICA ET BIOPHYSICA ACTA 1399, 187-197, 1998.	
		HU ET AL., AdipoQ is a Novel Adipose-specific Gene Dysregulated in Obesity, The Journal OF Biological Chemistry, 271:18:10697-10703, 1996.	
		CIANFLONE ET AL., Differentiation-induced Production of ASP in Human Adipocytes, EUROPEAN JOURNAL OF CLINICAL INVESTIGATION, 25:817-825, 1995.	
		HARE ET AL., Surface-exposed Proteins of 3T3-L1 Adipocytes: Identification of Phosphorylated, Insulin-translocated, and Recycling Proteins, ARCHIVES OF BIOCHEMISTRY AND BIOPHYSICS, 293:2:416-423, 1992.	
		KILLEFER ET AL., Production of a Novel Monoclonal Antibody of Porcine Adipocyte Plasma Membrane, P.S.E.B.M., 194:172-176, 1990.	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformation with MPEP 609. Draw line through citation if not in conformation and not considered. Include copy of this form with next communication to applicant.

not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to compete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.